

MAR 18 2004

1 SUPERIOR COURT OF CALIFORNIA
2 COUNTY OF ORANGE, CENTRAL JUSTICE CENTER

ALAN SLATER, Clerk of the Court

BY: A. CARRASCO DEPUTY

3
4 THE PEOPLE OF THE STATE OF CALIFORNIA,) FELONY COMPLAINT
5) WARRANT
6 Plaintiff,)

7 vs.)

No. 04CF0865
IPD 02-21543

8
9 MARK THOMAS GEORGANTAS 09/10/62)
10 A1709829)
11 AKA MARK THOMAS GEOGANTAS)
12 Defendant(s))

13 The Orange County District Attorney charges that in Orange
14 County, California, the law was violated as follows:

15 Count 1: On or about July 20, 2000, in violation of Section
16 31110 of the Corporations Code (UNLAWFUL SALE OF A FRANCHISE), a
17 FELONY, MARK THOMAS GEORGANTAS did unlawfully offer and sell a
18 franchise without having registered such offer or sale with the
Department of Corporations or filing an exemption for such
19 registration under the Corporations Code.

20 Count 2: On or about July 20, 2000, in violation of Section
21 31201 of the Corporations Code (USE OF UNTRUE STATEMENT IN
22 PURCHASE/SALE OF A SECURITY), a FELONY, MARK THOMAS GEORGANTAS
23 did unlawfully offer and sell, and buy and offer to buy, a
24 franchise by means of a written or oral communication which
included an untrue statement of a material fact and omitted a
25 material fact necessary to make the statements, in light of the
circumstance under which they were made, not misleading.

26 Count 3: On or about February 06, 2001, in violation of Section
27 31110 of the Corporations Code (UNLAWFUL SALE OF A FRANCHISE), a
28 FELONY, MARK THOMAS GEORGANTAS did unlawfully offer and sell a
franchise without having registered such offer or sale with the
Department of Corporations or filing an exemption for such
registration under the Corporations Code.

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1 Count 4: On or about February 06, 2001, in violation of Section
2 31201 of the Corporations Code (USE OF UNTRUE STATEMENT IN
3 PURCHASE/SALE OF A SECURITY), a FELONY, MARK THOMAS GEORGANTAS
4 did unlawfully offer and sell, and buy and offer to buy, a
5 franchise by means of a written or oral communication which
6 included an untrue statement of a material fact and omitted a
7 material fact necessary to make the statements, in light of the
8 circumstance under which they were made, not misleading.

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7 Count 5: On or about March 13, 2001, in violation of Section
8 31110 of the Corporations Code (UNLAWFUL SALE OF A FRANCHISE), a
9 FELONY, MARK THOMAS GEORGANTAS did unlawfully offer and sell a
10 franchise without having registered such offer or sale with the
11 Department of Corporations or filing an exemption for such
12 registration under the Corporations Code.

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11 Count 6: On or about March 13, 2001, in violation of Section
12 31201 of the Corporations Code (USE OF UNTRUE STATEMENT IN
13 PURCHASE/SALE OF A SECURITY), a FELONY, MARK THOMAS GEORGANTAS
14 did unlawfully offer and sell, and buy and offer to buy, a
15 franchise by means of a written or oral communication which
16 included an untrue statement of a material fact and omitted a
17 material fact necessary to make the statements, in light of the
18 circumstance under which they were made, not misleading.

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17 COUNT 7: On or about July 13, 2001, in violation of Section
18 25110 of the Corporations Code (SELL SECURITY IN ISSUER
19 TRANSACTION WITHOUT QUALIFICATION), a FELONY, MARK THOMAS
20 GEORGANTAS did unlawfully offer and sell a security in an
21 issuer transaction without such sale having been qualified under
22 section 25111, 25112, or 25143 of the Corporations Code, or
23 exempted under Chapter 1 (commencing with section 25100) of the
24 Corporations Code.

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23 COUNT 8: On or about July 13, 2001, in violation of Section
24 25401 of the Corporations Code (USING UNTRUE STATEMENT IN
25 PURCHASE/SALE OF A SECURITY), a FELONY, MARK THOMAS GEORGANTAS
26 did unlawfully offer and sell, and buy and offer to buy, a
27 security by means of a written or oral communication which
28 included an untrue statement of a material fact and omitted a
material fact necessary to make the statements, in light of the
circumstances, not misleading.

1 Count 9: On or about January 07, 2002, in violation of Section
2 31110 of the Corporations Code (UNLAWFUL SALE OF A FRANCHISE), a
3 FELONY, MARK THOMAS GEORGANTAS did unlawfully offer and sell a
4 franchise without having registered such offer or sale with the
5 Department of Corporations or filing an exemption for such
6 registration under the Corporations Code.

7 Count 10: On or about January 07, 2002, in violation of Section
8 31201 of the Corporations Code (USE OF UNTRUE STATEMENT IN
9 PURCHASE/SALE OF A SECURITY), a FELONY, MARK THOMAS GEORGANTAS
10 did unlawfully offer and sell, and buy and offer to buy, a
11 franchise by means of a written or oral communication which
12 included an untrue statement of a material fact and omitted a
13 material fact necessary to make the statements, in light of the
14 circumstance under which they were made, not misleading.

15 Count 11: On or about May 07, 2002, in violation of Section
16 31110 of the Corporations Code (UNLAWFUL SALE OF A FRANCHISE), a
17 FELONY, MARK THOMAS GEORGANTAS did unlawfully offer and sell a
18 franchise without having registered such offer or sale with the
19 Department of Corporations or filing an exemption for such
20 registration under the Corporations Code.

21 Count 12: On or about May 07, 2002, in violation of Section
22 31201 of the Corporations Code (USE OF UNTRUE STATEMENT IN
23 PURCHASE/SALE OF A SECURITY), a FELONY, MARK THOMAS GEORGANTAS
24 did unlawfully offer and sell, and buy and offer to buy, a
25 franchise by means of a written or oral communication which
26 included an untrue statement of a material fact and omitted a
27 material fact necessary to make the statements, in light of the
28 circumstance under which they were made, not misleading.

Count 13: On or about June 01, 2002, in violation of Section
31110 of the Corporations Code (UNLAWFUL SALE OF A FRANCHISE), a
FELONY, MARK THOMAS GEORGANTAS did unlawfully offer and sell a
franchise without having registered such offer or sale with the
Department of Corporations or filing an exemption for such
registration under the Corporations Code.

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1 Count 14: On or about June 01, 2002, in violation of Section
2 31201 of the Corporations Code (USE OF UNTRUE STATEMENT IN
3 PURCHASE/SALE OF A SECURITY), a FELONY, MARK THOMAS GEORGANTAS
4 did unlawfully offer and sell, and buy and offer to buy, a
5 franchise by means of a written or oral communication which
6 included an untrue statement of a material fact and omitted a
7 material fact necessary to make the statements, in light of the
8 circumstance under which they were made, not misleading.

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9 Count 15: On or about June 01, 2002, in violation of Section
10 31110 of the Corporations Code (UNLAWFUL SALE OF A FRANCHISE), a
11 FELONY, MARK THOMAS GEORGANTAS did unlawfully offer and sell a
12 franchise without having registered such offer or sale with the
13 Department of Corporations or filing an exemption for such
14 registration under the Corporations Code.

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15 Count 16: On or about June 01, 2002, in violation of Section
16 31201 of the Corporations Code (USE OF UNTRUE STATEMENT IN
17 PURCHASE/SALE OF A SECURITY), a FELONY, MARK THOMAS GEORGANTAS
18 did unlawfully offer and sell, and buy and offer to buy, a
19 franchise by means of a written or oral communication which
20 included an untrue statement of a material fact and omitted a
21 material fact necessary to make the statements, in light of the
22 circumstance under which they were made, not misleading.

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23 Count 17: On or about October 14, 2002, in violation of Section
24 31110 of the Corporations Code (UNLAWFUL SALE OF A FRANCHISE), a
25 FELONY, MARK THOMAS GEORGANTAS did unlawfully offer and sell a
26 franchise without having registered such offer or sale with the
27 Department of Corporations or filing an exemption for such
28 registration under the Corporations Code.

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29 Count 18: On or about October 14, 2002, in violation of Section
30 31201 of the Corporations Code (USE OF UNTRUE STATEMENT IN
31 PURCHASE/SALE OF A SECURITY), a FELONY, MARK THOMAS GEORGANTAS
32 did unlawfully offer and sell, and buy and offer to buy, a
33 franchise by means of a written or oral communication which
34 included an untrue statement of a material fact and omitted a
35 material fact necessary to make the statements, in light of the
36 circumstance under which they were made, not misleading.

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1 COUNT 19: On or about October 01, 2002, in violation of Section
2 25110 of the Corporations Code (SELL SECURITY IN ISSUER
3 TRANSACTION WITHOUT QUALIFICATION), a FELONY, MARK THOMAS
4 GEORGANTAS did unlawfully offer and sell a security in an
5 issuer transaction without such sale having been qualified under
6 section 25111, 25112, or 25143 of the Corporations Code, or
exempted under Chapter 1 (commencing with section 25100) of the
Corporations Code.

7 COUNT 20: On or about October 01, 2002, in violation of Section
8 25401 of the Corporations Code (USING UNTRUE STATEMENT IN
9 PURCHASE/SALE OF A SECURITY), a FELONY, MARK THOMAS GEORGANTAS
10 did unlawfully offer and sell, and buy and offer to buy, a
11 security by means of a written or oral communication which
12 included an untrue statement of a material fact and omitted a
material fact necessary to make the statements, in light of the
circumstances, not misleading.

13 Count 21: On or about March 03, 2003, in violation of Section
14 31110 of the Corporations Code (UNLAWFUL SALE OF A FRANCHISE), a
15 FELONY, MARK THOMAS GEORGANTAS did unlawfully offer and sell a
16 franchise without having registered such offer or sale with the
Department of Corporations or filing an exemption for such
registration under the Corporations Code.

17 Count 22: On or about March 03, 2003, in violation of Section
18 31201 of the Corporations Code (USE OF UNTRUE STATEMENT IN
19 PURCHASE/SALE OF A SECURITY), a FELONY, MARK THOMAS GEORGANTAS
20 did unlawfully offer and sell, and buy and offer to buy, a
21 franchise by means of a written or oral communication which
22 included an untrue statement of a material fact and omitted a
material fact necessary to make the statements, in light of the
circumstance under which they were made, not misleading.

23 SPECIAL ALLEGATION(s)

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25 As to Count(s) 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14,
26 15, 16, 17, 18, 19, 20, 21 and 22, it is further alleged
27 pursuant to Penal Code section 1203.045(a) (OVER \$100,000 LOSS),
28 that defendant MARK THOMAS GEORGANTAS committed the crime of
theft of an amount exceeding one hundred thousand dollars
(\$100,000).

1 BAIL RECOMMENDATION:

2 MARK THOMAS GEORGANTAS - \$ 1,000,000.00

3 NOTICE TO DEFENDANT AND ATTORNEYS FOR THE DEFENSE:

4 The People request that defendant and counsel disclose, within
5 15 days, all of the materials and information described in Penal
6 Code Section 1054.3, and continue to provide and later-acquired
7 materials and information subject to disclosure, promptly, and
without further request or order.

I hereby certify the foregoing instrument consisting of 6 page(s)
is a true and correct copy of the original on file in this court.



ATTEST: (DATE) 4/29/08
ALAN SLATER, EXECUTIVE OFFICER AND CLERK OF THE
SUPERIOR COURT OF CALIFORNIA, COUNTY OF ORANGE

BY [Signature] DEPUTY

S. ORTIZ

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I hereby certify the foregoing instrument consisting of _____ page(s) is a true and correct copy of the original on file in this Court.

ATTEST (DATE) _____
ALAN SLATER, EXECUTIVE OFFICER AND CLERK OF THE
SUPERIOR COURT OF CALIFORNIA, COUNTY OF ORANGE

DEPUTY _____



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